



VERTICES
P A R T N E R S
PROACTIVE | PEDIGREE | PERFORMANCE

REGULATORY AND SECRETARIAL COMPLIANCE

BRIEF OVERVIEW

REGULATORY AND SECRETARIAL COMPLIANCE

VERTICES PARTNERS provides a wide range of regulatory assistance services including filing and procuring regulatory approvals, compliance, advice on various regulatory matters, establishment of new market participants and our lawyers have extensive experience in dealing with a broad spectrum of regulators and a variety of regulatory issues and have a consistent track record of procuring regulatory approvals of varying degrees of complexity, for both domestic and foreign clients.

As a part of our Regulatory Affairs' services, we have a strong expertise in Regulatory Compliances and Corporate Secretarial Services. As part of these services, we offer internal compliance audit inclusive of labour and business compliances. This includes checking on day-to-day compliances as mandated by the law as well as the required licensing. Additionally, upon completion of the Internal Audit, advisory is also provided towards critical compliances to be undertaken, licenses to be procured, as well as internal procedures to be set up for maintaining such compliances. We also conduct trainings for clients on various compliance aspects including FSSAI and Legal Metrology Labelling Compliances, POSH Act, OTC Drugs and Insecticides Act. We also act as liaison between the required government department to procure the relevant licenses. As part of our Corporate Secretarial Services, we offer Company Incorporation Services as well as Secretarial compliance services which include drafting of board resolutions, assistance in MCA filings, amendments in MoA and AoA, and other secretarial aspects depending upon the requirement of the organisation. ♦♦

OUR PARTNERS IN THE REGULATORY AND SECRETARIAL COMPLIANCE

- ♦ **VINAYAK BURMAN**
- ♦ **MANASI PATHAK VERMA**

KEY MATTERS ADVISED BY OUR PARTNER

REGULATORY AND SECRETARIAL COMPLIANCE



- Supr Infotech Solutions Private Limited ("Supr Daily") for regulatory advisory on warehouse compliances, compliances under Legal Metrology Act, FSSAI, Drugs and Cosmetics Act, Insecticide Act, and BIS code of conduct. Also, for procurement of regulatory approvals and licenses under relevant laws applicable to the entity including Business Licenses and Trade License.



- Metro Cash and Carry India Private Limited ("METRO") for regulatory advisory on warehouse compliances, labelling compliances and trainings under Legal Metrology and FSSAI, compliances and license assistance under FSSAI.



- Mu-Zero Technologies Private Limited ("Edumatica") an Edutech platform, advising on regulatory compliances, various aspects of contract drafting and contract management, legal structuring drafting, drafting website policies and in certain other corporate advisory services.



- NYNM Organics Private Limited ("NYNM"), advising on regulatory compliances, various aspects of contract drafting and contract management, legal structuring drafting and in certain other corporate advisory services.



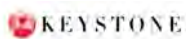
- Lambda Ventures ("Heelr"), advising on regulatory compliances, various aspects of contract drafting and contract management, legal structuring drafting, drafting website policies and in certain other corporate advisory services.



- Pervyukt Agrinnovaters Private Limited ("Peshagi") an Agri-tech platform, advising on various aspects of contract drafting and contract management, legal structuring as per Agricultural laws, and in certain other corporate advisory services.



- PowerTech Funds, advising on regulatory compliances, various aspects of contract drafting and contract management and in certain other corporate advisory services.



- Keystone Principal Associates Private Limited ("Keystone"), a capital markets advisory firm, advising on regulatory compliances, various aspects of contract drafting and contract management, legal structuring drafting and in certain other corporate advisory services.



- Innofin Solutions Private Limited ("Lenden Club"), a P2P loan lending platform, advising on regulatory compliances, various aspects of contract drafting and contract management, legal structuring drafting and in certain other corporate advisory services.

AWARDS & RECOGNITIONS

Chambers
AND PARTNERS

- Ranked in Chambers Asia-Pacific for Private Equity, India - 2021, 2022, 2023 & 2024

The
**LEGAL
500**

- Ranked in band 4 of practice area "Investment Funds" for the Asia-Pacific region - 2020
- Recognised as Firms to Watch in Corporate and M&A - 2022
- Ranked in Private Equity and Investment Funds & White-Collar Crime - 2021, 2022 & 2023
- Ranked in Corporate and M&A - 2023
- Recognised as Firms to Watch in Life Sciences & Healthcare Sector - 2023

RSG INDIA
LAW CENTRE

- Ranked Among Top 40 Law Firms - 2017 & 2019

INDIA BUSINESS
LAW JOURNAL

- Awarded Adjudged Rising Star
- Awarded the Best New Law Firm - 2017 & 2018
- Awarded Top 10 Law Firm in Private Equity Practice - 2018
- Awarded Best Indian Law Firm - 2019
- Won Indian Law Firm Awards in Private Equity & Venture Capital - 2019, 2020 & 2021
- Recognised as Award Winning Law Firm in Education Sector - 2021 & 2022, in Startup Sector - 2022 & 2023 and in Corporate Commercial - 2023 & 2024

**ASIAN LEGAL
BUSINESS**

- 40 under 40 - 2018
- Best Boutique Law Firm - 2018
- Who's Who - ALB - 2018 & 2019
- Awarded as a Notable Law Firm in ALB - M&A Rankings - 2019
- Recognised as India Firms to Watch - 2021
- Ranked for Mergers & Acquisitions - 2022, 2023 & 2024

asialaw

- Recognised as Notable Firm in Private Equity & Investment Funds Segments - 2019 & 2020
- Recognised as "The Highest Rated Law Firm" for Private Equity by Asialaw Client Service Excellence - 2021
- Ranked for Private Equity, Investment Funds & Corporate and M&A practice - 2022 edition
- Ranked in Private Equity, Investment Funds, Corporate and M&A, and Restructuring & Insolvency practice areas along with Banking & Financial Services, Technology & Telecommunications, and Pharmaceutical & Life Sciences industry sectors - 2022-23 & 2023-24 research edition.



AWARDS & RECOGNITIONS



IFLR1000

- Recognised as a Recommended Firm - 2019 & 2021
- Recognised as a Recommended Firm in Mergers & Acquisitions, Private equity, Restructuring & Insolvency - 2022
- Recognised as a Notable Law Firm in Private Equity Practice - 2019, 2020, 2021 & 2023
- Recognised as a Notable Law Firm in Restructuring & Insolvency - 2020, 2021 & 2023
- Recognised as a Notable Law Firm in Mergers and Acquisitions - 2021 & 2023



Forbes
INDIA
LEGAL
POWERLIST

- Enlisted as one of the Top Law Firms Below 10 Years Exp for Private Equity & Venture Capital and Corporate & Commercial Practices - Legal Powerlist by Forbes India 2020
- Enlisted as one of the Top Law Firms Below 10 Years Exp for Corporate & Commercial, Joint Venture, M&A, PE & VC, Restructuring, Insolvency & Bankruptcy and White Collar Crime - Legal Powerlist by Forbes India 2021 & 2022



VENTURE
INTELLIGENCE

- Awarded Debutant Law Firm of the Year in Private Equity & Venture Capital League table - 2016
- Ranked among Top 10 Youngest Law Firm in the Private Equity Segment -2016, 2017, 2018 & 2019
- Ranked among Top 10 Law Firm in Private Equity League Tables - 2021, 2022, 2023 & 2024
- Ranked among Top 10 Law Firm in M&A League Tables - 2022, 2023 & 2024



VCC EDGE

- Ranked among Top 10 Law Firms in the Private Equity Segment - 2019



BW BUSINESSWORLD
www.businessworld.in

- Awarded Emerging Law Firm of the Year - 2019



LEGAL
ERA

- Recognised as Law Firm of the Year in Private Equity - 2022



WE'RE LISTENING

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